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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shu Lin, et al.

**MAILED**

Serial No. : 09/378,671

APR 5 - 2000

Filed : August 20, 1999

**Group 2700**

For : A USER INTERFACE AND PROCESSING SYSTEM FOR  
DIGITAL VIDEO, AUDIO AND ANCILLARY DATA

Art Unit : 2712

INFORMATION DISCLOSURE STATEMENT

- [X] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- [ ] 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

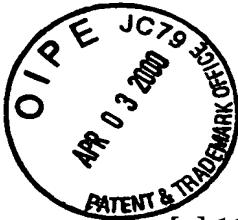
Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [X] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [ ] 8 The required certification made in item 11 below; or
- [ ] 9 The \$200.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [ ] 10 37 CFR§ 1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by



37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch D67, Date of Allowance: 08/30/96"), and

- (b) The required Certification is stated in item 11 below.

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[ ] 11 Certification

- [ ] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [ ] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
SHU LIN, et al.

BY:

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March 30, 2000

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: 30 March 2000

Signature

Form GE-97/98-1

(1/99)